<table>
<thead>
<tr>
<th>Permit Holder Name:</th>
<th>D A Bird Ltd, Pury End Quarry, Westy Road, Pury End, Towcester, Northants, NN12 7NY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Officer:</td>
<td>Helen Hunt</td>
</tr>
<tr>
<td>Permit Reference number:</td>
<td>52/3.5/01</td>
</tr>
<tr>
<td>Description of Installation:</td>
<td>Quarry Process</td>
</tr>
</tbody>
</table>

### Component 1 – Inherent Environmental Impact Potential

<table>
<thead>
<tr>
<th>Risk Rating</th>
<th>Possible Scores</th>
<th>Score Awarded</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A) Category 1</td>
<td>10</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>(B) Category 2</td>
<td>20</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(C) Category 3</td>
<td>30</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Component 2 – Progress with Upgrading

<table>
<thead>
<tr>
<th>Status of upgrading</th>
<th>Possible Scores</th>
<th>Score Awarded</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A) Upgrading not complete but PG Note deadline has yet to be reached</td>
<td>5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(B) Upgrading not yet complete and PG Note deadline has passed</td>
<td>10</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(C) Upgrading complete and meets BATNEEC Requirements</td>
<td>0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>(D) Emissions control exceeds BATNEEC Requirements</td>
<td>-10</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Component 3 – Sensitivity and Proximity of Receptors

<table>
<thead>
<tr>
<th>Proximity to Emission Source</th>
<th>Sensitivity of Receptors</th>
<th>Score Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(x) High (y) Medium (z)</td>
<td></td>
</tr>
<tr>
<td>(A) &lt;100m</td>
<td>20</td>
<td>5</td>
</tr>
<tr>
<td>(B) 100-250m</td>
<td>12</td>
<td>10</td>
</tr>
<tr>
<td>(C) 250-500m</td>
<td>5</td>
<td>1</td>
</tr>
<tr>
<td>(D) &gt;500m</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

### Component 4 – Other Targets

<table>
<thead>
<tr>
<th>Possible Scores</th>
<th>Score Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A) Other air pollution problems in the local area to which process is a potential contributor</td>
<td>10</td>
</tr>
<tr>
<td>(B) No such air pollution problems</td>
<td>0</td>
</tr>
</tbody>
</table>

### Component 5 – Compliance Assessment

<table>
<thead>
<tr>
<th>Scale of Non-Compliance</th>
<th>Possible Scores</th>
<th>Score Awarded</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A) Incident leading to justified complaint but no breach of any specific authorisation condition or of the general/residual BATNEEC condition</td>
<td>0</td>
<td>0</td>
<td>No complaints</td>
</tr>
<tr>
<td>(B) Incident leading to a justified complaint</td>
<td>10 per incident</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(C) Breach of authorisation not leading to a formal caution</td>
<td>10 per incident</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(D) Incident leading to formal caution, Enforcement Notice or prosecution</td>
<td>15 per incident</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(E) Incident leading to a Prohibition Notice or Suspension</td>
<td>20 per incident</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>(Max 55)</td>
<td></td>
</tr>
<tr>
<td>Criterion</td>
<td>Possible Scores</td>
<td>Score Awarded</td>
<td>Comments</td>
</tr>
<tr>
<td>--------------------------------------------------------------------------</td>
<td>-----------------</td>
<td>---------------</td>
<td>----------</td>
</tr>
<tr>
<td>(A) All monitoring undertaken to the degree required in the authorisation?</td>
<td>(x) Yes 0 (y) No 10 (z) N/A 0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>(B) Monitoring requirements reduced because results over time show consistent compliance?</td>
<td>(x) Yes -5 (y) No 0 (z) N/A 0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>(C) Process operation modified where any problems indicated by monitoring?</td>
<td>(x) Yes 0 (y) No 10 (z) N/A 0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>(D) Fully documented and adhered to maintenance programme, in line with authorisation?</td>
<td>(x) Yes 0 (y) No 10 (z) N/A 0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>(E) Fully documented records as required in authorisation available on-site?</td>
<td>(x) Yes 0 (y) No 5 (z) N/A 0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>(F) All relevant documents forwarded to the authority by date required?</td>
<td>(x) Yes 0 (y) No 10 (z) N/A 0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td><strong>Total score</strong></td>
<td></td>
<td><strong>(-5 to 45)</strong></td>
<td></td>
</tr>
</tbody>
</table>
### Scoring for Component 7 – Assessment of Management, Training and Responsibility

<table>
<thead>
<tr>
<th>Criterion</th>
<th>Possible Scores</th>
<th>Score Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A) Documented procedures in place for implementing all aspects of the authorisation?</td>
<td>0 x Yes 5 y No 0 z N/A</td>
<td>0</td>
</tr>
<tr>
<td>(B) Specific responsibilities assigned to individual staff for these procedures?</td>
<td>0 x Yes 5 y No 0 z N/A</td>
<td>0</td>
</tr>
<tr>
<td>(C) Completion of individual responsibilities checked and recorded by the company?</td>
<td>0 x Yes 5 y No 0 z N/A</td>
<td>0</td>
</tr>
<tr>
<td>(D) Documented training records for all staff with air pollution control responsibilities?</td>
<td>0 x Yes 5 y No 0 z N/A</td>
<td>0</td>
</tr>
<tr>
<td>(E) Trained staff on site throughout periods where potentially air polluting activities take place?</td>
<td>0 x Yes 5 y No 0 z N/A</td>
<td>0</td>
</tr>
<tr>
<td>(F) Is an ‘appropriate’ environmental management system in place?</td>
<td>-5 x Yes 0 y No 0 z N/A</td>
<td>0</td>
</tr>
</tbody>
</table>

Total score (-5 to 25)

| Total Score for Operator Performance Appraisal | Range -10 to 125 | 0  |
| OVERALL SCORE FOR THE PROCESS                  | Range -10 to 195 | 20 |
| REGULATORY EFFORT CATEGORY                     | LOW, MED, HIGH   | LOW|